



INSTITUTE OF AERONAUTICAL ENGINEERING

(Autonomous)

Dundigal, Hyderabad - 500 043

MASTER OF BUSINESS ADMINISTRATION

TUTORIAL QUESTION BANK

Course Title	FINANCIAL SERVICES AND SYSTEMS				
Course Code	CMBB36				
Programme	MBA				
Semester	III				
Course Type	Professional Elective-III				
Regulation	IARE - R18				
Course Structure	Theory			Practical	
	Lectures	Tutorials	Credits	Laboratory	Credits
	4	-	4	-	-
Chief Coordinator	Mrs. S Lakshmi, Assistant Professor, MBA				
Course Faculty	Mrs. S Lakshmi, Assistant Professor, MBA				

COURSE OBJECTIVES;

The course should enable the students to:

S. No	Description
I.	Provide basic knowledge about the Finance concepts, markets and various services provided in those markets.
II.	Apply financial concepts, theories, and tools, and to evaluate the role of technology and the legal, ethical and economic environment as it relates to financial institutions.
III.	Enrich the information about the roles of intermediaries and its regulating bodies.
IV.	Understand factoring, leasing, venture capital concepts and aware on the importance of finance for economic well

COURSE OUTCOMES:

Students, who complete the course, will have demonstrated the ability to do the following:

CMBB36.01	Gain knowledge about management of financial products and services.
CMBB36.02	Assess the importance of various traditional and modern financial services.
CMBB36.03	Obtain an insight in the concept of leasing and ability to evaluate financially to make lease or buy decisions.
CMBB36.04	Assess the significance of hire purchase along with the tax and legal framework.

CMBB36.05	Estimate the relevance of factoring in the financing of the business and critically evaluate the financial aspects of factoring for decision making.
CMBB36.06	Identify the characteristics of Bill discounting and its legal aspects and realize the discount charges and effective interest rates.
CMBB36.07	Comprehend the notion behind Venture capital financing, its process and funding and entry strategies of venture capital financing.
CMBB36.08	Recognize and use the valuation of venture capital financing methods in the start-up business along with the regulatory frame work.
CMBB36.09	Ascertain the process of merchant banking, new issue management, initial public offering, SEBI guidelines relating to new issues of securities.
CMBB36.10	Demonstrate the concept of credit rating, symbols and grades, and its advantages.

TUTORIAL QUESTION BANK

S. No	QUESTION	Blooms Taxonomy Level	Course Outcomes
UNIT-I			
FINANCIAL SYSTEM			
PART-A (SHORT ANSWER QUESTIONS)			
1	What are the components of Indian financial system?	Remember	CMBB36.01
2	What are the financial services provided by our finance system?	Understand	CMBB36.02
3	Which services come under fund based services?	Understand	CMBB36.02
4	Which financial services come under non-fund based services?	Remember	CMBB36.01
5	Define Financial Engineering?	Understand	CMBB36.02
6	What are the new financial products and services?	Remember	CMBB36.02
7	What are the new financial products in FOREX market?	Understand	CMBB36.01
8	Who are the regulators of Indian Finance System?	Remember	CMBB36.02
9	Expand ECGC and DICGC?	Understand	CMBB36.02
10	Define Working capital Finance?	Remember	CMBB36.01
11	Which services comes under financial services?	Understand	CMBB36.02
12	Define term lending?	Remember	CMBB36.02
13	Expand IIBI and SIDC?	Understand	CMBB36.02
14	Write any five names of public sectors banks?	Remember	CMBB36.02
15	What are the New generation banks in India?	Remember	CMBB36.01
16	Write a short note on need for innovation?	Remember	CMBB36.02
17	Classify the financial services.	Remember	CMBB36.02
18	Write about few lines on fund based financial services?	Remember	CMBB36.02
19	Write are the characteristics of financial services?	Remember	CMBB36.02
20	What are the objectives of financial services?	Remember	CMBB36.01
PART-B (LONG ANSWER QUESTIONS)			
1	Explain the growing importance of financial services in financial system?	Understand	CMBB36.01
2	Explain traditional and modern activities of financial services?	Understand	CMBB36.01
3	Distinguish between the fund based and non-fund based financial activities?	Understand	CMBB36.02
4	Write a notes on new financial products and services in India?	Remember	CMBB36.02
5	What is Financial Engineering? Discuss the need for financial innovation in India?	Remember	CMBB36.02
6	Why does financial innovation occur? Explain it?	Remember	CMBB36.01
7	Explain the role of technology in financial innovation?	Understand	CMBB36.02
8	Discuss the current scenario of financial services sector in India?	Understand	CMBB36.02
9	Explain the meaning and concept of financial services. What are the characteristics and objectives?	Understand	CMBB36.01

S. No	QUESTION	Blooms Taxonomy Level	Course Outcomes
10	What are the challenges faced by the financial service sector in India?	Remember	CMBB36.02
11	What is a financial system ? Discuss the various components of a financial system.	Remember	CMBB36.02
12	Discuss the role of financial services in Indian financial system.	Understand	CMBB36.01
13	Write a note on growth of financial services in India.	Understand	CMBB36.02
14	Discuss the emerging trends in the financial services sector in India.	Understand	CMBB36.02
15	Discuss about the Innovative financial instruments available in India.	Understand	CMBB36.01
16	Explain the significance of finance system in Indian business environment.	Understand	CMBB36.02
17	Discuss the problems and prospects of financial services in India.	Understand	CMBB36.02
18	What do you understand about the FOREX market in India? Explain their products and services.	Remember	CMBB36.01
PART-C (PROBLEM SOLVING AND CRITICAL THINKING QUESTIONS)			
1	Discuss the recent trends in Financial services in India	Remember	CMBB36.01
2	Critically analyze the present position of the financial service sector in India and state the challenges it has to face in the years to come?	Analyze	CMBB36.02
3	Critically analyze the current scenario for the Indian financial service industry?	Analyze	CMBB36.01
4	“Financial intermediaries transform funds in such a way as to make them more attractive”-comment.	Analyze	CMBB36.01
UNIT-II			
CONCEPT OF LEASING			
PART-A(SHORT ANSWER QUESTIONS)			
1	Define the term Leasing?	Remember	CMBB36.03
2	What are the advantages of leasing?	Understand	CMBB36.03
3	What do you understand by Hire Purchase?	Remember	CMBB36.04
4	What are the legal and tax implication of Leasing?	Remember	CMBB36.04
5	Who is lessor and who is lessee?	Understand	CMBB36.03
6	What are the different types of lease?	Remember	CMBB36.04
7	What is meant by break – even lease rental?	Understand	CMBB36.04
8	Differentiate between leasing and hire purchasing?	Understand	CMBB36.03
9	What are the limitations of leasing?	Remember	CMBB36.03
10	Write the formulae for NPV and IRR?	Understand	CMBB36.04
11	What are the features of hire purchasing?	Understand	CMBB36.03
12	Which taxes come under in hire purchasing?	Remember	CMBB36.03
13	Write the advantages of hire purchasing?	Remember	CMBB36.04
14	Which factors that contributed to growth of Indian leasing?	Understand	CMBB36.03
15	Differentiate between financial lease and operating lease?	Remember	CMBB36.03
16	What are the characteristics of Lease?	Remember	CMBB36.04
17	What are the advantages of Leasing?	Remember	CMBB36.03
18	What is meant by Indemnity?	Remember	CMBB36.04
19	What is lease or buy decision?	Remember	CMBB36.04
20	What a short notes on financial lease?	Remember	CMBB36.03
PART-B (LONG ANSWER QUESTIONS)			
1	Explain the legal and tax aspects of Hire Purchasing?	Remember	CMBB36.03
2	What are the factors to be considered while deciding whether to buy an asset, lease it or take it on hire purchase?	Remember	CMBB36.04
3	Determine the steps involved in leasing transaction.	Understand	CMBB36.04

S. No	QUESTION	Blooms Taxonomy Level	Course Outcomes
4	Classify different types of lease.	Understand	CMBB36.03
5	Explain the applicability of NPV and IRR techniques in the evaluation of lease financing?	Remember	CMBB36.04
6	Briefly explain the concept of tax and accounting aspects of leasing?	Understand	CMBB36.03
7	Explain the procedure of lease documentation and contract?	Remember	CMBB36.04
8	What do you understand by the financial evaluation of hire purchasing? Explain the flat and effective interest rates?	Understand	CMBB36.03
9	Briefly examine the risks associated with leasing?	Remember	CMBB36.04
10	Differentiate between leasing and hire purchasing? Explain with an examples?	Remember	CMBB36.04
11	Define leasing. What are the characteristics of leasing.	Remember	CMBB36.03
12	Give a brief overview of evolution of leasing in India.	Remember	CMBB36.03
13	Discuss about various types of leasing arrangements available in India.	Understand	CMBB36.03
14	Discuss the significance of lease as a source of finance. What are the distinctive features of financial lease as against operating lease?	Understand	CMBB36.03
15	Briefly examine the risks associated with leasing.	Remember	CMBB36.03
16	Explain the pros and cons of leasing from the point of view of the lease.	Understand	CMBB36.03
17	Explain the concept of lease and explain the potential of leasing in India.	Understand	CMBB36.03
18	Explain the various methods of interest calculation and reporting in hire purchase.	Understand	CMBB36.04
19	Evaluate the impact of income tax on a hire purchase transaction.	Remember	CMBB36.04
20	Explain the concept and characteristics of hire purchase financing. How it can be distinguished between lease financing.	Understand	CMBB36.04
PART-C (PROBLEM SOLVING AND CRITICAL THINKING QUESTIONS)			
1	A finance Ltd. Company has structured a consumer credit deal for Rs. 800000. The monthly repayment periods are 6,18 and 30 months. The equated monthly installments (EMI) are 135000, 46000 and 29000. Compute flat and effective rate of interest on each option?	Analyze	CMBB36.04
2	Elaborate the form and contents of Hire purchase agreement as per Hire Purchase Act.1972.	Remember	CMBB36.04
3	Compute ERI for the following information. Cash down payment = 25% , EMI 'S = 18, Flat rate of Interest =16.5, Payment of installment in, (a) Advance, (b) Arrear.	Analyze	CMBB36.04
4	'Leasing finance offers higher tax savings' whether the statement is true or false? Explain it?	Understand	CMBB36.03
5	'In hire purchase, the ownership remains with the seller until the last installment is paid'. Whether the statement true or false? Give reason?	Remember	CMBB36.04
6	Tapro Ltd can purchase an asset for Rs.2500. the asset has a salvage value of Rs.500 at the end of its life of 5 years. The firm charges depreciation on straight line method .If the asset is purchased, the firm's revenues will increases by Rs.1500 per year and will raise its operating expenses and interest by Rs.700 per year . the company is taxed at 50% and has a cost of capital of 10%. Alternatively the firm can lease the asset for an annual rent of Rs,650. The incremental revenue will be the same at Rs.1500 per year and the increase in firms expected non-depreciation expenses is Rs.600 per year. Evaluate the proposal.	Analyze	CMBB36.03

S. No	QUESTION	Blooms Taxonomy Level	Course Outcomes
7	Leebay Ltd needs an asset for which the following two options have been placed by the manufacturer. Option 1 : The asset can be leased on a payment of annual lease rent of Rs.15000 PA for 4 years. Option 2 : The asset can be purchased for Rs.50000 for which funds can be raised by the issue of 12% loan, which is to be repaid together with interest in 4 equal annual installments. The firm provides depreciation on straight line basis and the tax rate is 30%. Which option should the firm choose?	Analyze	CMBB36.03
8	Review the progress of lease financing in India. What are the determinants of its success in our country?	Remember	CMBB36.03
9	Under a hire purchase deal structured by the XYZ finance Ltd. Company for ABC industry ltd. Company the flat rate of interest is 10%. The ABC ltd company required to make a cash down payment of 20% and the repayment of loan is to be made in 30 EMIs. Compute ERI or APR on the assumption of payment of installments in (i) Advance (ii) Arrear. The ERI/APR can be calculated either by (i) making trial and error approach and (ii) by making use of the approximation formula.	Analyze	CMBB36.04
10	The following data relates to an asset to be leased by M/s Lessor Ltd. <ul style="list-style-type: none"> • Cost of equipment is Rs. 1 lakh • Cost of capital of lessor is 12% • Tax rate 40% • Salvage value is Rs.20,000 after 5 years • Depreciation is charged according to straight line method • Direct cost to the lessor is Rs.500 at the of the 1st year • Estimated general administration cost in respect of the equipment, to the lessor is Rs.1500 per year. If M/s lessor Ltd, desire to lease out the equipment to a lessee, they will receive an annual lease rent of Rs.36,000 for 5 years, a security deposit of Rs.3000 which is refundable at the end of the lease term and a sum of Rs. 1350 as non-returnable management fees payable at the time of inception of the lessor	Analyze	CMBB36.04
UNIT-III			
FACTORING			
PART-A(SHORT ANSWER QUESTIONS)			
CIE-I			
1	Give an example for factoring process?	Remember	CMBB36.05
2	Define factoring and factor?	Remember	CMBB36.05
3	State the function of factor.	Understand	CMBB36.05
4	Write any two advantages of factoring?	Understand	CMBB36.05
5	State the objectives of KalyanSundaram Committee	Remember	CMBB36.05
6	What are the features of factoring?	Understand	CMBB36.05
7	Write any three objectives of factoring?	Remember	CMBB36.05
8	State the types of factoring.	Remember	CMBB36.05
9	Write any two disadvantages of factoring?	Remember	CMBB36.05
10	What are the principles of factoring?	Remember	CMBB36.05
CIE-II			
1	Differentiate between factoring and bill discounting?	Understand	CMBB36.06
2	Write the limitations of bill discounting?	Remember	CMBB36.06
3	State the process of bill discounting	Remember	CMBB36.06

S. No	QUESTION	Blooms Taxonomy Level	Course Outcomes
4	What are the characteristics of bill discounting?	Understand	CMBB36.06
5	Who is drawer?	Understand	CMBB36.06
6	Write the advantages of bill discounting?	Remember	CMBB36.06
7	Who are the parties involved in bill discounting process?	Understand	CMBB36.06
8	What is meant by drawer bill system?	Understand	CMBB36.06
9	Who is drawee?	Remember	CMBB36.06
10	Write a short note on Drawee bill system?	Understand	CMBB36.06
CIE-I			
PART-B(LONG ANSWER QUESTIONS)			
1	Explain the significance and recent trends in factoring system in India.	Remember	CMBB36.05
2	Define factoring. Explain the concept and mechanism of factoring.	Understand	CMBB36.05
3	What are the features, advantages and disadvantages of factoring?	Remember	CMBB36.05
4	What is factoring? Explain the various types or forms of factoring.	Remember	CMBB36.05
5	Discuss in detail the various services rendered by factoring intermediaries.	Understand	CMBB36.05
6	Explain the legal aspects of financial evaluation of factorial services.	Understand	CMBB36.05
7	What is factoring? Explain how the evaluation of factorial services is done.	Remember	CMBB36.05
8	Discuss the emerging trends in factoring and state RBI guidelines.	Remember	CMBB36.05
9	Evaluate the financial implications of factorial services.	Understand	CMBB36.05
10	Summarize the Kalyanasundaram Standing Committee report?	Understand	CMBB36.05
CIE-II			
1	Discuss the legal obligations and financial aspects of parties involved in bill discounting,	Remember	CMBB36.06
2	Define bill discounting? Explain the concept of Bill Discounting?	Remember	CMBB36.06
3	Write a note on calculation of discount charges and effective interest rate?	Understand	CMBB36.06
4	Discuss the features and advantages of bill discounting process?	Understand	CMBB36.06
5	How does factoring is differ from bills discounting and cash credit?	Remember	CMBB36.06
6	What is meant by bill discounting? What are the legal aspects of bill discounting?	Understand	CMBB36.06
7	What is bill discounting? Explain its characteristics?	Understand	CMBB36.06
8	List out the steps involved in discounting and purchasing of commercial bills of exchange? Explain each of it.	Remember	CMBB36.06
9	What do you mean by bill discounting? Describe the parties involved in bill discounting.	Understand	CMBB36.06
10	Describe in detail about bill discounting process.	Understand	CMBB36.06
PART-C (PROBLEM SOLVING AND CRITICAL THINKING QUESTIONS)			
1	Factoring exists in different forms in India - Elucidate	Remember	CMBB36.05
2	Illustration, the matrix finance Ltd, discounts its client's bills at the rate mentioned below. (i) L/C backed bills, 24 percent per annum (ii) clean bill, 26 percent per annum required Calculate the effective rate of interest implicit in the two types of bills assuming usance period of (a) 90 days for the L/C – based and (b) 60 days for the clean bill and value of the bill Rs.15000	Analyze	CMBB36.06
3	Examine the problems and prospects of factoring services in India.	Understand	CMBB36.06
4	Outline the characteristics and process of bills discounting.	Understand	CMBB36.06

S. No	QUESTION	Blooms Taxonomy Level	Course Outcomes
5	Discuss in detail the various services rendered by factoring intermediaries.	Understand	CMBB36.05
6	Examine the recent trends in bill discounting.	Remember	CMBB36.06
7	Briefly comment on the factoring scenario in India.	Remember	CMBB36.05
8	Illustration The Khan finance Ltd at a specific rate discounts the bills of its clients. i) L/C – backed bills 18 percent per annum ii) Clean bill 20 percent per annum Required : The effective rate of interest implicit in the two types of bills are calculated which assume usance period of, a) 90 days for L/C – based bill and b) 60 days for the clean bill and value of the bill Rs.18000.	Analyze	CMBB36.06
UNIT-IV			
VENTURE CAPITAL FINANCING			
PART-A (SHORT ANSWER QUESTIONS)			
1	How do you choose a venture capital firm?	Remember	CMBB36.07
2	What is corporate venturing?	Understand	CMBB36.08
3	State the features of venture capital	Remember	CMBB36.07
4	What is First Chicago method?	Understand	CMBB36.08
5	Write any four advantages of venture capital financing?	Understand	CMBB36.08
6	What are Exit Strategies for Venture Capital Funds?	Remember	CMBB36.07
7	Write the disadvantages of venture capital finance?	Understand	CMBB36.08
8	What are the features of venture capital?	Remember	CMBB36.07
9	What is meant by venture capital undertaking?	Understand	CMBB36.08
10	Write about venture capital funding process?	Remember	CMBB36.07
11	How many methods are adopted by venture capital institution? What are they?	Remember	CMBB36.07
12	Define venture capital?	Understand	CMBB36.07
13	Write about the Current Scenario of Venture Capital Financing In India	Remember	CMBB36.08
14	How many types are there venture capital funding?	Understand	CMBB36.07
15	Write about early stage financing?	Understand	CMBB36.08
16	What is the need of venture funds?	Remember	CMBB36.07
17	What is meant by Buyins?	Remember	CMBB36.08
18	What do you understand about Mezzanine Capital?	Remember	CMBB36.07
19	Write few lines on concept of Franchising?	Remember	CMBB36.07
20	Discuss about types of buyouts.	Understand	CMBB36.08
PART-B (LONG ANSWER QUESTIONS)			
1	What are the key factors for consideration in venture capital financing?	Understand	CMBB36.07
2	What is meant by venture capital? Explain the concept of venture capital?	Remember	CMBB36.08
3	Discuss the exit strategies adopted by venture capital firms.	Understand	CMBB36.07
4	Explain the structuring of venture capital institutions of India	Remember	CMBB36.07
5	Discuss the regulatory framework of VCF in Indian business environment.	Understand	CMBB36.07
6	Write about the funding and entry strategies of venture capital financing in India.	Understand	CMBB36.08
7	Give a detailed overview of venture capital financing scenario in India.	Remember	CMBB36.08

S. No	QUESTION	Blooms Taxonomy Level	Course Outcomes
8	Discuss in detail the steps involved in venture capital funding process?	Remember	CMBB36.07
9	Discuss about various methods of venture capital financing evaluation.	Remember	CMBB36.08
10	What is the need of venture capital? Briefly describe the main features of venture capital.	Understand	CMBB36.08
11	Explain the need , relevance and development of venture funds	Understand	CMBB36.07
12	What are the policy imperatives of Venture Capital fund development in India?	Remember	CMBB36.08
13	What is investment nurturing? Explain its various styles.	Remember	CMBB36.08
14	Write down the objectives and techniques of investment nurturing	Remember	CMBB36.07
15	Briefly explain the valuation of portfolio.	Remember	CMBB36.07
16	Discuss the relative merits and limitations in traditional financing and venture capital financing.	Understand	CMBB36.08
17	Bring out clearly venture capital financing scenario in Indian context.	Remember	CMBB36.08
PART-C (PROBLEM SOLVING AND CRITICAL THINKING QUESTIONS)			
1	Trace the origin and growth of venture capital. How does it impact the economy of country?	Understand	CMBB36.07
2	Elaborate the provisions relating to share capital of a venture capital firm and transfer restriction.	Understand	CMBB36.08
3	“The important aspect of exit stage of Venture Capital financing is the decision regarding the realization / disinvestment alternatives which are related to type of investment”. Elaborate.	Understand	CMBB36.07
4	Examine the performance of TDICI and other venture capital firms in India.	Understand	CMBB36.07
5	Critically examine the performance of other venture capital firms in India.	Understand	CMBB36.08
UNIT-V			
MERCHANT BANKING			
PART-A(SHORT ANSWER QUESTIONS)			
1	How many credit rating agencies are there in India? What are they?	Understand	CMBB36.09
2	Expand CRISIL and ICRA?	Understand	CMBB36.10
3	What are the advantages of Credit rating?	Understand	CMBB36.09
4	Who is lead manager?	Remember	CMBB36.10
5	What do you understand by the term ‘under writer’?	Remember	CMBB36.09
6	State functions of registrar to issue?	Understand	CMBB36.10
7	What is Book building?	Remember	CMBB36.10
8	Distinguish between underwriter and Lead manager	Understand	CMBB36.09
9	What is Green shoe option?	Understand	CMBB36.10
10	Who are stock brokers?	Remember	CMBB36.09
11	What are the responsibilities of lead manager?	Remember	CMBB36.09
12	What is meant by Issue management process?	Understand	CMBB36.09
13	Who is portfolio manager?	Understand	CMBB36.09
14	What are the duties of portfolio manager?	Remember	CMBB36.09
15	Write about symbols of rating and grading?	Understand	CMBB36.10
16	What is meant by loan syndication?	Remember	CMBB36.10
17	State the functions of lead manager?	Remember	CMBB36.10
18	Write few lines about credit rating agencies in India.	Remember	CMBB36.10
19	What are the advantages of credit rating?	Remember	CMBB36.10

S. No	QUESTION	Blooms Taxonomy Level	Course Outcomes
20	Write few lines on corporate counseling.	Remember	CMBB36.10
PART-B(LONG ANSWER QUESTIONS)			
1	State the significance and functions of merchant bankers under competitive environment?	Remember	CMBB36.09
2	Explain merchant banking activities and SEBI guidelines related to issue management.	Remember	CMBB36.09
3	Define merchant banking. Explain the evolution of merchant banking in India.	Understand	CMBB36.09
4	What is credit rating? Explain the importance of credit rating?	Understand	CMBB36.10
5	Discuss the legal framework of merchant banking system in India.	Remember	CMBB36.09
6	Explain in detail about eligibility norms of companies issuing securities,	Understand	CMBB36.09
7	What is Issue management? Explain in detail the role of merchant bankers in pre-issue management?	Understand	CMBB36.09
8	Explain the various credit rating methods and state its utility to the investor's decisions.	Remember	CMBB36.10
9	Who is merchant banker? Explain the principal qualities of successful merchant banker.	Understand	CMBB36.09
10	Explain the methodology adopted by various credit rating agencies in India	Remember	CMBB36.10
11	Discuss about concept and evolution of merchant banking in India.	Understand	CMBB36.09
12	Explain the scope of merchant banking in India.	Understand	CMBB36.09
13	Explain the services of merchant bankers.	Understand	CMBB36.09
14	Explain the principal qualities of successful merchant banker.	Understand	CMBB36.09
15	What is merchant banking? Discuss briefly the guidelines for merchant bankers by SEBI.	Remember	CMBB36.09
16	What are the activities connected with underwriting of merchant bankers?	Remember	CMBB36.09
17	Explain the role of merchant banker as registrar to an issue.	Understand	CMBB36.09
18	What are the stages involved in management of public issue by merchant bankers?	Remember	CMBB36.09
19	What is the concept of book building? Explain its characteristics.	Remember	CMBB36.09
20	Describe the advantages and disadvantages of credit rating.	Remember	CMBB36.09
PART-C (PROBLEM SOLVING AND CRITICAL THINKING QUESTIONS)			
1.	Critically evaluate the performance of credit rating in India?	Remember	CMBB36.10
2	Discuss the pros and cons of book – building process and also IPO	Understand	CMBB36.09
3	Make a critical appraisal of the role of merchant bankers in India.	Understand	CMBB36.09
4	“Merchant banker acts as a banker to an issue”. Discuss.	Remember	CMBB36.09
5.	Elucidate the rationale of credit rating agencies in India	Understand	CMBB36.10
6	How merchant banker acts as brokers to the issue?	Remember	CMBB36.09
7	What do you mean by the term “portfolio management” in the context of merchant banking?	Remember	CMBB36.09
8	How can a listed company price equity shares/ convertible securities through public issue?	Remember	CMBB36.09
9	Critically evaluate the role of a merchant banker in issue management.	Remember	CMBB36.09
10	Examine the regulatory framework of credit rating in India.	Remember	CMBB36.10

Prepared by:

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